



 **Agenda**

The following is a tentative agenda for our RIA Compliance Connection 2021 Conference. The agenda is subject to change and is meant to serve only as a general overview.

Wednesday, August 25, 2021

# Day One Sessions - All times central

**9:40 to 10:00 AM –** Registration

**10:00 to 10:20** **AM**– Opening Remarks by Bryan Hill, President of RIA Compliance Consultants

**10:20 to 11:20 AM** – First Consultant Led Breakout Group Session

**11:30 AM to 12:30 PM** – Second Consultant Led Breakout Group Session

**12:30 to 12:45** **PM** – Summary and general discussion of the day’s Breakout Sessions

Thursday, August 26, 2021

# Day Two Sessions – All Times Central

**10:00 to 10:20** **AM**– Registration

**10:20 to 10:30 AM** – Opening Remarks by Bryan Hill, President of RIA Compliance Consultants

**10:30 to 11:20 AM** – Session 1: Conflicts of Interest

**11:25 AM to 12:15 PM** – Session: 2 SEC’s New marketing Rule

**12:15 to 12:30 PM** – Break

**12:30 to 1:20 PM** – Session 3: Cybersecurity

**1:25 to 2:15** **PM**– Session 4: RIA Standard of Conduct/Care

**2:20 to 3:00** **PM** – Session 5: Any Questions? – Q&A Panel with our Senior Compliance Consultants

RIA Compliance Consultants would like to recognize and thank the following Strategic Alliance Members and Conference Sponsors