



Agenda

The following is a tentative agenda for our RIA Compliance Connection 2021 Conference. The agenda is subject to change and is meant to serve only as a general overview.

Wednesday, August 25, 2021

Day One Sessions - All times central

9:40 to 10:00 AM – Registration

10:00 to 10:20 AM – Opening Remarks by Bryan Hill, President of RIA Compliance Consultants

10:20 to 11:20 AM – First Consultant Led Breakout Group Session

11:30 AM to 12:30 PM – Second Consultant Led Breakout Group Session

12:30 to 12:45 PM – Summary and general discussion of the day's Breakout Sessions

Thursday, August 26, 2021

Day Two Sessions – All Times Central

10:00 to 10:20 AM – Registration

10:20 to 10:30 AM – Opening Remarks by Bryan Hill, President of RIA Compliance Consultants

10:30 to 11:20 AM – Session 1: Conflicts of Interest

11:25 AM to 12:25 PM – Session: 2 SEC's New marketing Rule

12:15 to 12:30 PM – Break

12:30 to 1:20 PM – Session 3: Cybersecurity

1:25 to 2:15 PM – Session 4: RIA Standard of Conduct/Care

2:20 to 3:00 PM – Session 5: Any Questions? – Q&A Panel with our Senior Compliance Consultants

RIA Compliance Consultants would like to recognize and thank the following Strategic Alliance Members and Conference Sponsors



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