

RIA COMPLIANCE CONSULTANTS, INC.
IARD RENEWAL & FORM ADV PART 1 ANNUAL AMENDMENT

In the event that your registered investment adviser would like to retain RIA Compliance Consultants, Inc. ("RCC") to assist with the completion of the 2010 Annual Renewal Program and Form ADV Part 1 Annual Amendment, please complete the following information and make payment for \$450. You may submit your payment of \$450 by check or by credit card. Please note that engagements received after November 16, 2009 are subject to availability.

The Annual Renewal and Part 1 Annual Amendment service does not include the addition of Investment Adviser Representatives (IARs); the termination of IARs; disclosure events on Item 11 of ADV Part 1; IARD, State, and IAR filing fees; or Form ADV Part II/Schedule F amendments. If requested, the addition or termination of IARs will be billed at a rate of \$150 per IAR.

Firm Name: _____

Contact Name: _____

Telephone: _____

Email: _____

Address: _____

CRD Number: _____

CRD Username: _____

CRD Password: _____

___ Payment by Credit Card. If you would like to pay online via credit card, you may do so by using the following link: www.RIA-Compliance-Consultants.com/pay

Alternatively, you may contact Crystal Walz toll-free at 877-345-4034, extension 100, with your credit card information.

___ Payment by Check. Payment should be made payable to "RIA Compliance Consultants, Inc." and sent to RIA Compliance Consultants, 209 S. 19th Street, Suite 640, Omaha, NE 68102.

The advance payment will be kept in RCC's retainer account. Upon completion of the 2010 Annual Renewal requirement, the first half of this advance payment (\$225) will be released from the client retainer account and applied against the consulting fee. The second half of the advance payment (\$225) will be released from the client retainer account and applied against the consulting fee upon presentment of the firm's Form ADV Part 1 Annual Amendment draft.

Client agrees to the terms of engagement as set forth above.

Client: _____ Date: _____

Signature: _____

Name: _____

Title: _____