

IARD Annual Renewal and Form ADV Part 1 Annual Amendment Engagement

In the event that you would like to retain RIA Compliance Consultants to assist you with the completion of the 2008 Annual Renewal Program and 2007 Form ADV Part 1 Annual Amendment, please complete the following information and return this form along with a check made payable to "RIA Compliance Consultants" in the amount of \$455. **Note** - Engagements received after November 15 are subject to availability.

*This service does not include the addition of IARs; the termination of IARs with a reportable DRP event; disclosure events on Item 11 of ADV Part 1; IARD, State, IAR filing fees; or Form ADV Part II/Schedule F amendments. If requested, the addition of new IARs or the termination of an IAR with a reportable DRP event will be billed at a rate of \$95 per IAR.

Check here if you require amendments to the Form ADV Part II/Schedule F.

Firm Name and CRD#: _____

Contact Name: _____

Telephone# and E-mail Address: _____

Address, City, State, Zip: _____

CRD Username/Password: _____

Payment by Credit Card. If you would like to pay via credit card, please contact Annie Dilocker at 877-345-4034, extension 100, with your credit card information, or we will contact you to attain the necessary information. A \$30 service charge will apply to all credit card transactions.

Payment by Check. Payment should be made payable to "RIA Compliance Consultants" and included with this form.

The advance payment will be kept in our firm's client trust account. Upon completion of the 2008 Annual Renewal requirement, the first half of this advance payment will be released from the client trust account and applied against the consulting fee. The second half of the advance payment will be released from the client trust account and applied against the consulting fee upon the submission of the firm's Form ADV Part 1 Annual Amendment.

Client agrees to the terms of engagement as set forth above.

On _____, 200__:

Signature

Print Name